

Forrest N. Fontana, CFA

(c) 781-929-6490

ForrestFontana@post.harvard.edu

EDUCATION

Harvard University, Kennedy School of Government, Cambridge, MA

***Masters in Public Administration (MPA)*, 2012 – 2013**

Political Economy, Behavioral Science, and ESG/SRI Investing

Elected Member, Harvard Graduate Council

Nominated: Robert F. Kennedy Award for Excellence in Public Service

Dean's Ambassador

Leader, OneHarvard program

Mentor, MC-MPA program

Op/Ed Writer, "The Citizen" student newspaper

Columbia University, Graduate School of Business, NY, NY

***Masters in Business Administration (MBA), Finance & Accounting*, 1991 – 1993**

Vanderbilt University, School of Engineering, Nashville, TN

***Bachelor of Science (BS), Engineering Science*, 1983 – 1987**

Massachusetts Institute of Technology, Professional Education, Cambridge, MA

***Digital Transformation & Disrupting Technologies, certificate*, 2021**

AI/Machine Learning, Blockchain/Cryptocurrency, Cloud, IoT, & Cyber Security

Stanford University, Worldview, Stanford, CA

***Behind and Beyond Big Data, certificate*, 2016**

Behind and Beyond Big Data is an interdisciplinary program bringing together faculty and researchers in the domains of Social Science, Computer Science, Policy, Organizational Behavior, and Ethics. Participants study the drivers of Big Data & Data Analytics including Machine Learning & Algorithmic multi-factor modeling, the shifting of power and influence, and ways to create new value -- all with the aim to better manage the tradeoffs among individuals, government, business and society.

***Science of Decision-Making, certificate*, 2015**

Science of Decision Making is an interdisciplinary program bringing together faculty and researchers in the domains of Neuroscience, Behavioral Science, and Cognitive Psychology. Participants collaborate to understand and practice ways to model, influence and make better decisions both as individuals and collectively in groups.

CFA Institute, Charlottesville, VA

***Chartered Financial Analyst*, 1996 – Present**

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INSTRUCTOR, LECTURER & SPEAKER EXPERIENCE

The Citadel Baker School of Business, The Military College of SC, Charleston, SC
Professor of Practice and Alvah H. Chapman, Jr. Chair in Management & Ethics, May 2023 - Present

- Promoted to Professor of Practice and Alvah Chapman, Jr. Chair in Management & Ethics
- Instruction includes Advanced Business Analytics and Business Ethics
- Director of Baker School Coaching (Mentoring) program
- Director of Baker School Leadership Day

The Citadel Baker School of Business, The Military College of SC, Charleston, SC
Visiting Professor, Business Analytics, August 2022 - May 2023

- Instructed Citadel undergraduates in both Advanced and Introductory Business Analytics
- Student Evaluations averaged 4.7/5.0 scale for 8 course sections

The Citadel Baker School of Business, The Military College of SC, Charleston, SC
Visiting Professor, Economics, August 2021 - August 2022

- Instructed Citadel undergraduates in Microeconomics and Macroeconomics primarily in a face-to-face modality but also through synchronous & asynchronous online delivery utilizing Canvas as the Learning Management System
- Student Evaluations averaged 4.8/5.0 scale for 10 course sections
- Completed all Citadel Faculty Training requirements regarding Excellence and Innovation in Teaching, Learning & Distance Education

College of Charleston Business School, Charleston, SC

Guest Instructor, MBA Advanced Corporate Finance, with Dr. Daniel Huerta, Summer 2016
Instructed on Industry Analysis, Company Analysis, Fundamental Security Analysis, Valuation Methodologies, Capital Market complexities & dynamics, and Oral Presentation skills.

College of Charleston Business School, Charleston, SC

Guest Evaluator, Undergraduate Corporate Finance, with Dr. Weishen Wang, Spring 2016
Invited to assist in evaluating senior student final course project presentations on Corporate Financial Analysis and Oral Presentation skills.

College of Charleston Business School, Charleston, SC

Guest Speaker, Finance Club, Spring 2016
Invited to speak to the Finance Club on the areas of Fundamental Security Analysis, Valuation Methodologies, and Capital Market complexities & dynamics

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PROFESSIONAL EXPERIENCE

NeuroEdge Investments, LLC, Boston, MA, registered Investment Manager

Founder, President and Portfolio Manager, 2015 – 2019

Investment management company focused on long/short equities in the essence of a true hedge-fund. The operating model of NeuroEdge Investments is differentiated by:

- An investment style that has fundamental stock selection as the core basis for its selection of long and short equities combined with an experienced and dynamic approach to the construction of hedged portfolios and risk management
- The integration of quantitative and technical tools to enhance returns and reduce risk
- A unique perspective that brings together top tier analytical and portfolio management experience of more than two decades with an added special "lens" from the domains of Behavioral Finance, Neuroscience, and ESG/SRI applied to the investment markets
- A business philosophy described as "Dynamic Investment Capital" -- helping institutions, families and individuals "do good socially as they do good financially." NeuroEdge Investments approaches investment decisions in its hedge portfolios with a "consciousness" of ESG/SRI factors. The positive performance can produce a compounding effect on clients' investment capital allowing them to effect greater change with their assets
- In contrast to traditional long/short fund models, a fee structure that more aligns the outcome of the investment manager with its clients' performance
- The synthesis of this process allows its clients to have a dynamic impact with their investment capital

Fontana Capital, LLC, Boston, MA, registered Investment Manager

Founder, President and Chief Investment Officer, 2005 – 2012

Investment management company focused on hedged portfolios for global endowments, foundations, pensions, family offices and HNW individuals. At peak managed \$400mm in assets focused on equity securities of global financial services companies, with an in-house staff of Research Analysts, Traders, Operations & Marketing professionals.

Published performance track record for Fontana Capital Offshore Fund Ltd. from February 2005 (inception) thru March 2009 (close) delivered superior value-added absolute, relative and risk-adjusted returns, net of fees:

- Annualized Return +10.10% vs. -24.85% for Benchmark
- Cumulative Return +46.83% vs. -70.36% for Benchmark
- Standard Deviation of 11.69% vs. 27.16% for Benchmark
- Historical Beta of -0.08 vs. Benchmark

SAC Capital Advisors, LLC, Stamford, CT

Portfolio Manager, 2002 – 2004

Hedged Portfolio Manager for one of the largest global investment management companies focused on equity securities of global financial services companies.

Fidelity Investments, Boston, MA

Portfolio Manager & Senior Equity Analyst, 2000 – 2001

Portfolio Manager & Senior Analyst for one of the largest global investment management companies focused on equity securities of global insurance companies.

Putnam Investments, Boston, MA

Senior Vice President, Equity Portfolio Manager, 1998 – 2000

Vice President, Equity Portfolio Manager, 1996 – 1997

Vice President, Senior Equity Analyst, 1994 – 1995

Equity Analyst, 1993 – 1994

EXECUTIVE, BOARD & SERVICE EXPERIENCE

Streamable Learning, Charleston, SC

Special Advisor to the Co-Founders, December 2014 – Present

Streamable Learning's mission is to assist in making live educational programming a valuable, common supplemental tool for teachers and homeschool families that are seeking to inspire and educate their K-12 students. www.StreamableLearning.com

One80 Place, Charleston, SC

Volunteer, November 2017 – Present

Pure Incubation, Topsfield, MA

Special Advisor to the Chairman & CEO, June 2013 – December 2019

Pure Incubation provides B2B companies with proven digital strategies using demand science applications and a flexible publishing platform to power new revenue streams through demand generation solutions. www.PureIncubation.com

American Red Cross, Charleston, SC

Disaster Action Team Associate, 2017 – 2018

Mike Heffernan, Candidate for State Treasurer, Commonwealth of Massachusetts

Special Advisor to the Candidate, January 2014 – November 2014

Town of Winchester, MA

Chairman, Board of Selectmen, April 2011 – March 2012

Led Executive Search for new Town Manager

Vice-Chairman, Board of Selectmen, April 2010 – March 2011

Publicly-Elected Member (twice), Board of Selectmen, April 2009 – March 2015

Appointed Commissioner, appointed to Commission of Trust Funds, April 2015 – March 2018

Publicly-Elected Town Meeting Voting Member, April 2009 – March 2015

Boston Common Coffee, Boston, MA

Co-Owner and Member, Board of Directors, 2008 – Present

St. John's Preparatory School, Danvers, MA

Appointed Member, Board of Trustees, July 2008 – June 2017

Appointed Member, Nominating & Board Assessment Committee, July 2014 – June 2015

Appointed Member, Headmaster Oversight Committee, July 2014 – June 2015

Appointed Member, Investment Committee, July 2010 – June 2017

Appointed Member, Finance Committee, July 2008 – June 2017